

1 UNITED STATES DISTRICT COURT
2 FOR THE DISTRICT OF NEVADA

3 * * *

4 UNITED STATES OF AMERICA,)
5 Plaintiff,)
6 WALKER RIVER PAIUTE TRIBE,)
7 Plaintiff-Intervenor,)
8 vs.)
9 WALKER RIVER IRRIGATION DISTRICT,)
10 a corporation, et al.,)
11 Defendants.)

IN EQUITY NO. C-125-ECR
Subproceedings: C-125-B & C-125-C
3:73-CV-00127-ECR-LRL &
3:73-CV-00128-ECR-LRL

AMENDED ORDER
CONCERNING SERVICE ISSUES
PERTAINING TO DEFENDANTS
WHO HAVE BEEN SERVED

12 MINERAL COUNTY,)
13 Proposed-Plaintiff-Intervenor)
14 vs.)
15 WALKER RIVER IRRIGATION DISTRICT)
16 a corporation, et al.)
17 Proposed Defendants.)

18 In the C-125-B and C-125-C subproceedings, the Court has required the United States of
19 America (“United States”) and the Walker River Paiute Tribe (“Tribe”) (Plaintiff and Plaintiff-
20 Intervenor in Subproceeding C-125-B) and Mineral County (Proposed Plaintiff-Intervenor in
21 Subproceeding C-125-C) (collectively the “Plaintiff Parties”) to serve significant numbers of
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1 water rights holders in the Walker River Basin.¹ In their respective subproceedings, the Plaintiff
2 Parties have engaged in extensive service efforts on these water rights holders over a number of
3 years. This Order addresses several overlapping service issues that have emerged from these
4 efforts and are common to both subproceedings.

5 Having reviewed and considered the record concerning service in these subproceedings,
6 and the related filings and arguments of counsel, the Court has concluded that, with regard to
7 defendants who have been served, service of the pleadings in these actions must have a defined
8 end point and the Plaintiff Parties should be relieved of the duty (1) to track such defendants
9 perpetually, (2) to re-serve them if and when they acquire additional water rights in the same
10 subproceeding, or (3) to serve successors-in-interest to water rights if and when defendants who
11 have been served transfer any of these rights. In the interest of ensuring that service will have an
12 end point, this Order addresses the treatment and finality of service with respect to defendants
13 who transfer their claims to water rights after having been served, defendants who have been
14 served and acquire additional claims to water rights at issue in the same subproceeding, and the
15 obligations of named defendants who transfer a claim to water right prior to service. This Order
16 also addresses the treatment of successors-in-interest to claims to water rights following the
17 death of a defendant who has been served.
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20 NOW THEREFORE, the Court FINDS and ORDERS as follows:
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24 ¹ To date, for example, the United States reports that it has served over 3,800 persons and entities pursuant to this
25 Court's Case Management Order, (C-125-B Doc. 108), and Mineral County reports that it has served over 1,000
26 Walker River Decree water rights holders, (C-125-C Doc. 496).

1 **I. Treatment of Successors-in-Interest As a Result of an Inter Vivos² Transfer:**

- 2 1. The Court finds that after litigation has been commenced, the substitution or joinder of a
3 successor-in-interest is governed by Federal Rule of Civil Procedure 25 (“Fed. R. Civ. P.
4 25”). Hilbrands v. Far East Trading Co., 509 F.2d 1321, 1323 (9th Cir. 1975); Fischer
5 Bros. Aviation, Inc. v. NWA, Inc., 117 F.R.D. 144, 146 (D. Minn. 1987) (citing
6 Froning's, Inc. v. Johnston Feed Serv., 568 F.2d 108, 110 (8th Cir. 1978)); P P Inc. v.
7 McGuire, 509 F. Supp. 1079, 1083 (D.C.N.J. 1981) (citing 7A Charles Alan Wright &
8 Arthur R. Miller, Federal Practice and Procedure § 1958 (1972)). Fed. R. Civ. P. 25(c)
9 governs the substitution of successors-in-interest that are the result of an *inter vivos*
10 transfer and provides in relevant part that “[i]f an interest is transferred, the action may be
11 continued by or against the original party unless the court, on motion, orders the
12 transferee to be substituted in the action or joined with the original party.” Fed. R. Civ.
13 P. 25(c).
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16 2. “The most significant feature of Rule 25(c) is that it does not require that anything be
17 done after an interest is transferred. The action may be continued by or against the
18 original party, and the judgment will be binding on his successor-in-interest even though
19 he is not named.” In re Bernal, 207 F.3d 595, 598 (9th Cir. 2000) (citing 7C Charles
20 Alan Wright, Arthur R. Miller & Mary Kay Kane, Federal Practice and Procedure § 1958
21 (2d Ed.1986)); see also Luxliner P.L. Export Co. v. RDI/Luxliner, Inc., 13 F.3d 69, 71
22 (3d Cir.1993); P P Inc. v. McGuire, 509 F. Supp. at 1083 (citing Froning's, Inc., 568 F.2d
23

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25 ² *Inter vivos* means among the living. Transfers that occur because a served defendant has died are addressed below

1 108). Thus, where a defendant has been served in a subproceeding and subsequently sells
2 or otherwise conveys a water right or portion of a water right subject to that
3 subproceeding, a successor-in-interest need not be re-served, but will be bound by the
4 results of this litigation.

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6 3. Once a defendant has been served in a subproceeding, the burden of keeping track of
7 *inter vivos* transfers of the defendant's water rights in that subproceeding and substituting
8 the defendant's successors-in-interest properly is born by the defendant and its
9 successor(s)-in-interest. The action will continue in the name of the served defendant
10 until such time as the served defendant and any successor(s)-in-interest file an agreement
11 and motion seeking the substitution of the successor(s)-in-interest for the served
12 defendant and the Court approves that substitution.

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14 4. If a defendant who has been served in a subproceeding subsequently sells or otherwise
15 conveys a water right or a portion of a water right subject to that subproceeding, that
16 defendant and its successor(s)-in-interest may move for substitution pursuant to Fed. R.
17 Civ. P. 25(c).

18
19 5. If the Court approves the substitution of a successor-in-interest for a served defendant in
20 a subproceeding, the action will continue against the successor-in-interest, who will be
21 treated as a served defendant for the pendency of the subproceeding and shall be bound
22 by the requirements of this Order and all prior and subsequent Orders in that
23 subproceeding.

24
25 in Section II.

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27 C-125-B/C-125-C: Revised Proposed Successor-in-Interest Order

1 6. If a defendant who has been served in a subproceeding subsequently acquires additional
2 water rights that are subject to that subproceeding, the prior service on the defendant shall
3 be effective as to all water rights held by that defendant, including any rights acquired
4 subsequent to service.

5 7. The Court has approved the attached forms (Attachments A and B) for use by defendants
6 and their successors-in-interest in subproceedings C-125-B and C-125-C, respectively,
7 under the above circumstances. Attachments A and B set forth a joint motion by which
8 both the current defendant and its successor(s)-in-interest may identify the rights
9 transferred and indicate their agreement that the successor(s)-in-interest be substituted
10 into the applicable subproceeding for the water rights addressed. These forms are not the
11 exclusive means by which successors-in-interest may be substituted into this action.
12

13 **II. Treatment of Successors-in-Interest As a Result of a Death:**
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15 8. The Court finds that Fed. R. Civ. P. 25(a) governs substitution of successors-in-interest as
16 a result of a death and provides, in relevant part: "If a party dies and the claim is not
17 extinguished, the court may order substitution of the proper party. A motion for
18 substitution may be made by any party or by the decedent's successor or representative.
19 If the motion is not made within 90 days after service of a statement noting the death, the
20 action by or against the decedent must be dismissed." Fed. R. Civ. P. 25(a)(1). If no
21 such notice or suggestion of death is made on the record, the case may proceed to
22 judgment with the original named parties. 4 James Wm. Moore et al., Moore's Federal
23 Practice § 25.12[5], 25-20 (3d ed. 1997) (citing Cicccone v. Sec'y of Dep't of Health and
24 Human Servs., 861 F.2d 14, 15 n.1 (2d Cir. 1988)).

25 9. "Service of a statement noting the death" means the filing on the record in the applicable
26 subproceeding(s) and service of a statement that identifies the successor(s) to the estate

1 who may be substituted for the decedent. McSurely v. McClellan, 753 F.2d 88, 98 (D.C.
2 Cir. 1985); Rende v. Kay, 415 F.2d 983, 985-86 (D.C. Cir. 1969); Kessler v. Southeast
3 Permanente Med. Group of NC, P.A., 165 F.R.D. 54, 56 (E.D.N.C. 1995) (citing 7C
4 Charles A. Wright, et al., Federal Practice and Procedure § 1955 (1986 and Supp.1995));
5 see also Fed. R. Civ. P. 25(a)(3) (service requirements for a statement noting death). Any
6 statement noting the death of a defendant must be filed in all applicable subproceeding(s).
7 In other words, if a decedent is only a defendant in subproceeding C-125-B, the notice
8 need only be filed in that case. If, however, the decedent is a defendant in C-125-B and
9 C-125-C, the notice must be filed in both subproceedings. A notice filed in one
10 subproceeding shall not be construed as “service of a statement noting the death” in the
11 other subproceeding for purposes of complying with this Order or FRCP 25(a).

12 10. Should a death be formally noted on the record by service of a statement noting the death
13 that is filed in the appropriate subproceeding(s), the Tribe and United States, in the case
14 of C-125-B defendants, or Mineral County, in the case of C-125-C defendants, or any
15 other party or the decedent’s representative and/or successor(s)-in-interest shall move for
16 substitution of the proper successor-in-interest within 90 days of such notice pursuant to
17 Fed. R. Civ. P. 25(a). The 90-day period may be extended pursuant to Rule 6(b), which
18 provides the Court the discretion to enlarge this period. Fed. R. Civ. P. 6(b); see also
19 Fed. R. Civ. P. 6(b) 1963 amendment advisory committee’s note to 1963 amendment;
20 Fed. R. Civ. P. 25(1)(1) advisory committee’s note to 1963 amendment.

21 11. Absent service of a statement noting the death in a subproceeding, the case may proceed
22 against the original named parties in that subproceeding and will bind any and all
23 successors-in-interest.

24 12. If a successor-in-interest is already defendant in either subproceeding as a joint tenant or
25 pursuant to other joint ownership of the right(s) owned by the decedent, no action is
26 required and the subproceeding will continue against the successor-in-interest.

1 **III. Treatment of Defendants in Subproceeding No. C-125-C Who Transferred Their**
2 **Interest(s) Prior to Service:**

3 13. The provisions of this Section III pertain only to Subproceeding C-125-C. The approved
4 Service Package in Subproceeding C-125-B already includes an *Order – Disclaimer of*
5 *Interest* and form entitled *Disclaimer of Interest in Water Rights and Notice of Related*
6 *Information and Documentation Supporting Disclaimer* to address this issue. If any
7 person or entity receiving service by mail or personal service has no interest in any water
8 right subject to subproceeding C-125-C, that person or entity shall notify the Court and
9 Mineral County in writing of that fact.

10
11 14. If such a person or entity sold or otherwise conveyed ownership of *all* water rights
12 subject to Subproceeding C-125-C before being served or otherwise brought into
13 Subproceeding C-125-C, in addition to disclaiming any interest in C-125-C, that person
14 or entity shall include a notice providing the same successor-in-interest information
15 required to be included in a motion for substitution.

16
17 15. The form and substance of the disclaimer and notice for C-125-C shall substantially
18 conform to the form attached to this Order as Exhibit C and shall be sent to the Court and
19 counsel for Mineral County.

20 16. Any person or entity who files a Disclaimer of Interest using the attached form or
21 provides information for this purpose by other means is ultimately responsible for the
22 accuracy of that filing. Consequently, any person or entity who files a notice regarding
23 water rights subject to this litigation, but retains such water rights, shall nevertheless be
24 bound by the results of this litigation.

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17. Following its receipt from any person or entity disclaiming any interest in any of the water rights at issue in this case, Mineral County will review the materials received, and, if appropriate, request that the Court dismiss that person or entity from subproceeding C-125-C.

18. If Mineral County does not receive a Waiver of Service of Notice in Lieu of Summons and must personally serve a person or entity that subsequently files a Disclaimer of Interest pursuant to this Order, Mineral County will review the materials received, and, if appropriate, request that the Court dismiss the person or entity from subproceeding C-125-C, but that person or entity may be subject to paying the costs related to formal personal service on them.

IV. Notice to Parties

19. The Plaintiff Parties shall provide periodic notice of developments in these proceedings to other parties in this proceedings by mail and by publication as directed by further order of this Court.

V. Duty to Provide Updated Information

20. The Walker River Irrigation District, the Nevada State Engineer and the California Water Resources Control Board shall regularly provide updated water right ownership information to the Court and the Plaintiff Parties. This information may be used to provide notice of the pending proceedings to any new water rights owners.

IT IS SO ORDERED.

Dated: 9-6-11



The Honorable Lawrence R. Leavitt
United States District Court Magistrate Judge

C-125-B/C-125-C: Revised Proposed Successor-in-Interest Order

ATTACHMENT A

ATTACHMENT A

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEVADA

UNITED STATES OF AMERICA,)
))
Plaintiff,)
))
WALKER RIVER PAIUTE TRIBE,)
))
Plaintiff-Intervenor,)
))
vs.)
))
WALKER RIVER IRRIGATION DISTRICT,)
a corporation, et al.,)
))
Defendants.)

IN EQUITY NO. C-125-ECR
Subproceeding: C-125-B
3:73-CV-00127-ECR-RAM

**REVISED PROPOSED FORM OF
JOINT MOTION FOR
SUBSTITUTION OF PARTIES
FOLLOWING TRANSFER OF
INTEREST AND REQUEST FOR
HEARING**

This motion is filed in Subproceeding C-125-B, which involves pending claims for water rights in the Walker River by the United States and the Walker River Paiute Tribe. This motion is filed pursuant to Fed. R. Civ. P. 25(c) and this Court's *Order Concerning Service Issues Pertaining to Defendants Who Have Been Served* (#) and is filed jointly by _____, who is a current Counter-Defendant in this action ("Current Defendant") and has conveyed or otherwise transferred one or more of the water rights claims involved in this case to _____ ("Proposed Defendant"). The categories of water rights claims involved in this case are described in paragraph 3 of the Case Management Order previously entered by the Court.

By this motion, the Current Defendant and Proposed Defendant ask the Court to substitute the Proposed Defendant into this action in place of the Current Defendant regarding the specific water right(s) identified herein. The parties to this motion request a hearing on this motion if any objections are filed to this motion. If there are no objections, the parties to this motion consent to the Court entering an Order of Substitution without a hearing.

I. Identification of Current Defendant:

The undersigned Current Defendant in the above action hereby notifies the Court and the United States that the undersigned (or the entity on whose behalf I am acting) has sold or

otherwise conveyed ownership of all or a portion of a water right or rights involved in this case to the Proposed Defendant and provides the following information:

- A. The name and address of the Current Defendant who sold or otherwise conveyed ownership:

Name(s):
Street or P.O. Box:
Town or City:
State:
ZipCode:
Telephone Number:
E-mail Address:

- B. Attorney Representation:

- Check here if the Current Defendant is not represented by counsel in this subproceeding.
- Check here if the Current Defendant is represented by counsel in this subproceeding.

If the Current Defendant is represented by counsel in this subproceeding, that attorney is identified below, along with his or her mailing address, telephone number, facsimile number, and e-mail address:

Attorney:
Address:

Telephone Number:
Fax Number:
E-mail Address:

II. Identification of Proposed Defendant:

The undersigned Proposed Defendant in the above action hereby notifies the Court and the United States that the undersigned (or the entity on whose behalf I am acting) has acquired ownership of all or a portion of a water right or rights involved in this case from the Current Defendant and provides the following information:

- A. The name and address of the Proposed Defendant who acquired the water right or rights at issue in this motion:

Name(s):
Street or P.O. Box:
Town or City:
State:
ZipCode:
Telephone Number:
E-mail Address:

- B. Attorney Representation:

- Check here if the Proposed Defendant is not represented by counsel in this subproceeding.
- Check here if the Proposed Defendant is represented by counsel in this subproceeding.

If the Proposed Defendant is represented by counsel in this proceeding, that attorney is identified below, along with his or her mailing address, telephone number, facsimile number, and e-mail address:

Attorney:
Address:

Telephone Number:
Fax Number:
E-mail Address:

III. Identification of the Water Right(s) Addressed in this Motion:

- A. This motion addresses the following water right(s) that the Current Defendant has transferred or otherwise conveyed to the Proposed Defendant:

B. Attached to and included with this motion are true and correct copies of all documents by which the change in ownership addressed in this motion was accomplished (check all appropriate boxes):

- Deed(s)
- Court Order(s)
- Other Document(s)

IV. Request for Substitution:

A. By this filing, the Current Defendant requests that the Proposed Defendant be substituted into this action as the Counter-Defendant in regard to the water right(s) identified in Section III.A.

B. By this filing, the Proposed Defendant agrees to be substituted into this action as the Counter-Defendant in regard to the water right(s) identified in Section III.A.

C. The undersigned Current Defendant and Proposed Defendant acknowledge that any person or entity who files this type of motion for substitution in this matter is ultimately responsible for the accuracy of this filing. Consequently, the undersigned Current Defendant and Proposed Defendant acknowledge that any defendant who files such a motion, but, in fact, retains the water rights addressed in this motion, shall nevertheless be bound by the results of this litigation.

D. Following the conveyance or transfer identified above, does the Current Defendant retain any water right or portion of a water right, including any rights not identified in Section III, that are involved in this case (fall within the water right categories set forth in paragraph 3 of the Case Management Order)?

- No. Subsequent to the conveyance/transfer identified in this motion, I/we/entity do not own any other water rights that are involved in this case. As a result, I/we/entity submit that the Court may dismiss the Current Defendant from this subproceeding because I/we/entity no longer own any water rights that are subject to this subproceeding.
- Yes. Subsequent to the conveyance/transfer identified in this motion, I/we/entity own other water rights that are involved in this case. As a result, the Current Defendant remains a Counter-Defendant in this subproceeding

based on the ownership of other water rights that are subject to this subproceeding.

V. Waiver of Service pursuant to Fed. R. Civ. P. 4:

This Section applies to any Proposed Defendant that is not already a Counter-Defendant in this case based on its ownership of other water rights. By its signature to this motion, the Proposed Defendant:

- A. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) have been made aware of the fact that the water right(s) described in Section III above are subject to this subproceeding, which is entitled *United States v. Walker River Irrigation District*, and which is docket number In Equity C-125, Subfile C-125-B, in the United States District Court for the District of Nevada, and that I am bound by all Orders in this subproceeding;
- B. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) need not be served separately or personally, pursuant to Fed. R. Civ. P. 4, with a Notice in Lieu of Summons, the instant motion or any other previous filing in this subproceeding;
- C. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) may file a NOTICE OF APPEARANCE AND INTENT TO PARTICIPATE in the Court at the address indicated in Section VII, below; and
- D. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) will retain all defenses or objections to the lawsuit or to the jurisdiction or venue of the Court, except for objections based on a defect in service pursuant to Fed. R. Civ. P. 25(c) regarding this motion.

VI. Certifications and Acknowledgements:

By this filing, the Current Defendant and Proposed Defendant acknowledge that:

- A. Federal Rule of Civil Procedure 25(c) governs the substitution of successors-in-interest that are the result of a transfer, other than those resulting from the death of a defendant, and provides in relevant part that “[i]f an interest is transferred, the action may be continued by or against the original party unless the court, on motion, orders the transferee to be substituted in the action or joined with the original party....”;
- B. Rule 25(c) does not require that anything be done after an interest is transferred, which means that the action may be continued by or against the original party, and the

- judgment will be binding on his successor-in-interest even though the successor-in-interest is not named;
- C. Absent the filing of this or a similar motion, this action would continue in the name of the Current Defendant, but would nevertheless bind the Proposed Defendant and any future successors-in-interest to the results of the litigation;
 - D. Other parties to the case, acting pursuant to the Federal Rules of Civil Procedure, may wish to file their position(s) regarding the merits of this motion, and may support, oppose or take no position on the merits of this motion;
 - E. Following the filing of this motion, the action will continue in the name of the Current Defendant for the water right(s) identified herein until such time as the Court approves the substitution requested herein. While substitution does not automatically dismiss a Current Defendant from this subproceeding, the Court may dismiss the Current Defendant if that Defendant no longer has any water rights subject to this proceeding.

VII. Filing and Service of this Motion:

The Current Defendant and Proposed Defendant have filed this motion in the above action with the:

Chief Deputy Clerk
United States District Court for the
District of Nevada
400 South Virginia Street, Suite 301
Reno, Nevada 89501

and served a copy of this motion by mail on:

Susan L. Schneider
United States Department of Justice
999 - 18th Street, Suite 370
Denver, CO 80202

PLEASE NOTE: Attorneys are reminded that they are required to comply with the electronic filing procedures of the U.S. District Court for District of Nevada.

“Attorneys who are admitted to the bar of this court, admitted to participate in a case pro hac vice, or who are authorized to represent the United States and its agencies, shall register as Filing Users of the System. Registration shall be in the form prescribed by the Clerk of the Court and

by these Electronic Filing Procedures.” U.S. Dist. Ct., D. Nev., Electronic Filing Procedures (rev. Aug. 24, 2006) at I.C.

Signature of Current Defendant:

(Signature)

(Printed or typed Name)

Entity, if any, on whose behalf you are appearing: _____

The forgoing instrument was signed before me this ____ day of _____, 20__ by _____.

State of _____

County of _____

Signature of Notary

My Commission Expires:

Signature of Proposed Defendant:

(Signature)

(Printed or typed Name)

Entity, if any, on whose behalf you are appearing: _____

The forgoing instrument was signed before me this ____ day of _____, 20__ by _____.

State of _____

County of _____

Signature of Notary

My Commission Expires:

ATTACHMENT B

ATTACHMENT B

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEVADA

UNITED STATES OF AMERICA,)
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 Plaintiff,)
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 WALKER RIVER PAIUTE TRIBE,)
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 Plaintiff-Intervenor,)
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 vs.)
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 WALKER RIVER IRRIGATION DISTRICT,)
 a corporation, et al.,)
)
 Defendants.)
)
 _____)
 MINERAL COUNTY,)
)
 Proposed-Plaintiff-Intervenor)
)
 vs.)
)
 WALKER RIVER IRRIGATION DISTRICT)
 a corporation, et al.)
)
 Proposed Defendants.)
 _____)

IN EQUITY NO. C-125-ECR
Subproceeding: C-125-C
3:73-CV-00128-ECR-RAM

**PROPOSED FORM OF JOINT
MOTION FOR SUBSTITUTION
OF PARTIES FOLLOWING
TRANSFER OF INTEREST AND
REQUEST FOR HEARING**

This motion is filed in Subproceeding C-125-C, which involves claims for water in the Walker River by Mineral County. This motion is filed pursuant to Fed. R. Civ. P. 25(c) and this Court's *Order Concerning Service Issues Pertaining to Defendants Who Have Been Served* (# _____) and is filed jointly by _____, who is a current Defendant in this action based on the ownership of one or more water rights claims under the Walker River Decree ("Current Defendant") and has conveyed or otherwise transferred one or more of these water rights claims to _____ ("Proposed Defendant").

By this motion, the Current Defendant and Proposed Defendant ask the Court to substitute the Proposed Defendant into this action in place of the Current Defendant regarding the specific water right(s) identified herein. The parties to this motion request a hearing on this motion if any objections are filed to this motion. If there are no objections, the parties to this motion consent to the Court entering an Order of Substitution without a hearing.

I. Identification of Current Defendant:

The undersigned Current Defendant in the above action hereby notifies the Court and Mineral County that the undersigned (or the entity on whose behalf I am acting) has sold or otherwise conveyed ownership of all or a portion of a water right or rights under the Walker River Decree to the Proposed Defendant and provides the following information:

- A. The name and address of the Current Defendant who sold or otherwise conveyed ownership:

Name(s):
Street or P.O. Box:
Town or City:
State:
Zip Code:
Telephone Number:
E-mail Address:

- B. Attorney Representation:

- Check here if the Current Defendant is not represented by counsel in this subproceeding.
- Check here if the Current Defendant is represented by counsel in this subproceeding.

If the Current Defendant is represented by counsel in this subproceeding, that attorney is identified below, along with his or her mailing address, telephone number, facsimile number, and e-mail address:

Attorney:
Address:

Telephone Number:
Fax Number:
E-mail Address:

II. Identification of Proposed Defendant:

The undersigned Proposed Defendant in the above action hereby notifies the Court and Mineral County that the undersigned (or the entity on whose behalf I am acting) has acquired ownership of all or a portion of a water right or rights under the Walker River Decree from the Current Defendant and provides the following information:

- A. The name and address of the Proposed Defendant who acquired the water right or rights at issue in this motion:

Name(s):
Street or P.O. Box:
Town or City:
State:
Zip Code:
Telephone Number:
E-mail Address:

- B. Attorney Representation:

- Check here if the Proposed Defendant is not represented by counsel in this subproceeding.
- Check here if the Proposed Defendant is represented by counsel in this subproceeding.

If the Proposed Defendant is represented by counsel in this proceeding, that attorney is identified below, along with his or her mailing address, telephone number, facsimile number, and e-mail address:

Attorney:
Address:

Telephone Number:
Fax Number:
E-mail Address:

III. Identification of the Water Right(s) Addressed in this Motion:

- A. This motion addresses the following water right(s) that the Current Defendant has transferred or otherwise conveyed to the Proposed Defendant:

- B. Attached to and included with this motion are true and correct copies of all documents by which the change in ownership addressed in this motion was accomplished (check all appropriate boxes):
 - Deed(s)
 - Court Order(s)
 - Other Document(s)

IV. Request for Substitution:

- A. By this filing, the Current Defendant requests that the Proposed Defendant be substituted into this action as the Counter-Defendant in regard to the water right(s) identified in Section III.A.
- B. By this filing, the Proposed Defendant agrees to be substituted into this action as the Counter-Defendant in regard to the water right(s) identified in Section III.A.
- C. The undersigned Current Defendant and Proposed Defendant acknowledge that any person or entity who files this type of motion for substitution in this matter is ultimately responsible for the accuracy of this filing. Consequently, the undersigned Current Defendant and Proposed Defendant acknowledge that any defendant who files such a motion, but, in fact, retains the water rights addressed in this motion, shall nevertheless be bound by the results of this litigation.
- D. Following the conveyance or transfer identified above, does the Current Defendant retain any water right or portion of a water right under the Walker River Decree, including any rights not identified in Section III?
 - No. Subsequent to the conveyance/transfer identified in this motion, I/we/entity do not own any other water rights under the Walker River Decree. As a result, I/we/entity submit that the Court may dismiss the Current Defendant from this subproceeding because I/we/entity no longer own any water rights that are subject to this subproceeding.

- Yes. Subsequent to the conveyance/transfer identified in this motion, I/we/entity own other water rights under the Walker River Decree. As a result, the Current Defendant remains a Counter-Defendant in this subproceeding based on the ownership of other water rights that are subject to this subproceeding.

V. Waiver of Service pursuant to Fed. R. Civ. P. 4:

This Section applies to any Proposed Defendant that is not already a Counter-Defendant in this case based on its ownership of other water rights. By its signature to this motion, the Proposed Defendant:

- A. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) have been made aware of the fact that the water right(s) described in Section III above are subject to this subproceeding, which is entitled *United States v. Walker River Irrigation District*, and which is docket number In Equity C-125, Subfile C-125-C, in the United States District Court for the District of Nevada, and that I am bound by all Orders in this subproceeding;
- B. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) need not be served separately or personally, pursuant to Fed. R. Civ. P. 4, with a Notice in Lieu of Summons, the instant motion or any other previous filing in this subproceeding;
- C. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) may file a NOTICE OF APPEARANCE AND INTENT TO PARTICIPATE in the Court at the address indicated in Section VII, below; and
- D. Acknowledges that I/we/entity (or the entity on whose behalf I am acting) will retain all defenses or objections to the lawsuit or to the jurisdiction or venue of the Court, except for objections based on a defect in service pursuant to Fed. R. Civ. P. 25(c) regarding this motion.

VI. Certifications and Acknowledgements:

By this filing, the Current Defendant and Proposed Defendant acknowledge that:

- A. Federal Rule of Civil Procedure 25(c) governs the substitution of successors-in-interest that are the result of a transfer, other than those resulting from the death of a defendant, and provides in relevant part that “[i]f an interest is transferred, the action may be

continued by or against the original party unless the court, on motion, orders the transferee to be substituted in the action or joined with the original party....”;

- B. Rule 25(c) does not require that anything be done after an interest is transferred, which means that the action may be continued by or against the original party, and the judgment will be binding on his successor-in-interest even though the successor-in-interest is not named;
- C. Absent the filing of this or a similar motion, this action would continue in the name of the Current Defendant, but would nevertheless bind the Proposed Defendant and any future successors-in-interest to the results of the litigation;
- D. Other parties to the case, acting pursuant to the Federal Rules of Civil Procedure, may wish to file their position(s) regarding the merits of this motion, and may support, oppose or take no position on the merits of this motion;
- E. Following the filing of this motion, the action will continue in the name of the Current Defendant for the water right(s) identified herein until such time as the Court approves the substitution requested herein. While substitution does not automatically dismiss a Current Defendant from this subproceeding, the Court may dismiss the Current Defendant if that Defendant no longer has any water rights subject to this proceeding.

VII. Filing and Service of this Motion:

The Current Defendant and Proposed Defendant have filed this motion in the above action with the:

Chief Deputy Clerk
United States District Court for the
District of Nevada
400 South Virginia Street, Suite 301
Reno, Nevada 89501

and served a copy of this motion on:

Simeon Herskovits
P.O. Box 1075
El Prado, New Mexico 87529

PLEASE NOTE: Attorneys are reminded that they are required to comply with the electronic filing procedures of the U.S. District Court for District of Nevada.

“Attorneys who are admitted to the bar of this court, admitted to participate in a case pro hac vice, or who are authorized to represent the United States and its agencies, shall register as Filing Users of the System. Registration shall be in the form prescribed by the Clerk of the Court and by these Electronic Filing Procedures.” U.S. Dist. Ct., D. Nev., Electronic Filing Procedures (rev. Aug. 24, 2006) at I.C.

Signature of Current Defendant:

(Signature) (Printed or typed Name)
Entity, if any, on whose behalf you are appearing: _____

The forgoing instrument was signed before me this ____ day of _____, 20__ by

_____.

State of _____

County of _____

Signature of Notary

My Commission Expires:

Signature of Proposed Defendant:

(Signature) (Printed or typed Name)

Entity, if any, on whose behalf you are appearing: _____

The forgoing instrument was signed before me this ____ day of _____, 20__ by

_____.

State of _____

County of _____

Signature of Notary

My Commission Expires:

ATTACHMENT C

ATTACHMENT C

1 UNITED STATES DISTRICT COURT
2 FOR THE DISTRICT OF NEVADA

3 * * *

4 UNITED STATES OF AMERICA,)
5 Plaintiff,)
6 WALKER RIVER PAIUTE TRIBE,)
7 Plaintiff-Intervenor,)
8 vs.)
9 WALKER RIVER IRRIGATION DISTRICT,)
10 a corporation, et al.,)
11 Defendants.)

IN EQUITY NO. C-125-ECR
Subproceeding C-125-C
3:73-CV-00128-ECR-RAM

**DISCLAIMER OF INTEREST IN
WATER RIGHTS AND NOTICE
OF RELATED INFORMATION
AND DOCUMENTATION
SUPPORTING DISCLAIMER**

12 MINERAL COUNTY,)
13 Proposed-Plaintiff-Intervenor)
14 vs.)
15 WALKER RIVER IRRIGATION DISTRICT)
16 a corporation, et al.)
17 Proposed Defendants.)

18 The undersigned counter-defendant in the above action hereby notifies the Court and
19 Mineral County that the undersigned (or the entity on whose behalf the undersigned is acting)
20 has no interest in any water right subject to the Walker River Decree, and therefore, **disclaims all**
21 **interest in this action.**

22 In addition, because the undersigned sold or otherwise conveyed ownership of all of the
23 water rights that the undersigned (or the entity on whose behalf the undersigned is acting) once
24 owned before the undersigned was served with a Waiver of Service of Notice in Lieu of
25 Summons or by a Notice in Lieu of Summons the undersigned provides the following additional
26 information:
27

1. The name and address of the defendant or defendants who sold or otherwise conveyed ownership:

 Name(s)

 Street or P.O. Box

 Town or City State Zip Code

2. The name and address of each person or entity who acquired ownership

 Name(s)

 Street or P.O. Box

 Town or City State Zip Code

3. Attached to or included with this notice is a copy of the (check appropriate box(es)):

- Deed
- Court Order
- Other Document

4. The undersigned acknowledges that any person or entity who files a Disclaimer of Interest in this matter is ultimately responsible for the accuracy of this filing.

 *This notice shall be sent to the following two persons:

Chief Deputy Clerk
 United States District Court for the
 District of Nevada
 400 South Virginia Street, Suite 301
 Reno, Nevada 89501

AND

Simeon Herskovits
 Advocates for Community and Environment
 P.O. Box 1075
 El Prado, NM 87529

1 Consequently, the undersigned acknowledges that any person or entity who
2 files such a notice, but, in fact, has water rights subject to this litigation, shall
3 nevertheless be bound by the results of this litigation.

4 Executed this ____ day of _____, 20__

5
6 _____
[signature of defendant]

7
8 _____
[name of defendant]

9
10 _____
[signature, if applicable, of person
11 acting on behalf of defendant]

12
13 _____
[name, if applicable, of person acting
14 on behalf of defendant]

15
16 _____
[address]

17
18 _____
[telephone number]